



CÔNG TY CỔ PHẦN CHỨNG KHOÁN DẦU KHÍ  
PETROVIETNAM SECURITIES INC

Head office: Floor 2, Hanoi Tourist Building, 18 Ly  
Thuong Kiet, Hoan Kiem, Ha Noi  
Tell: 04 39343888 Website: <http://psi.vn>

SOCIALIST REPUBLIC OF VIETNAM  
Independent - Freedom - Happiness

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## APPENDIX I: RISK ANNOUNCEMENT

(Apply for Customers' online trading account registration)

We, PetroVietnam Securities Incorporated ("PSI") issued this Risk Announcement for the customers to read, understand and accept the risks outlined in this Risk Announcement before registering to open online trading accounts.

PetroVietnam Securities Incorporated ("PSI") has been granted Securities Business License No.: 26/UBCK – GPHĐKD Issued by State Securities Committee dated 19/12/2006

This online trading service brings convenience, safety and quickness to customers when placing orders for securities transactions and minimize possible risks of account information, transaction information. Besides, with the improvements of the online trading software, PSI also supports customers with other utilities when executing online transactions such as tracking order status, listing order history, calculating expected profit and loss, expressing the favorite stocks portfolio...

1. The potential risks when using online services include but are not limited to:
  - (a) Technical errors in hardware and software of the Systems;
  - (b) The System is halted due to transmission problems;
  - (c) The computer is affected by virus and information exchange of the computer-network is halted. Customer's transaction order may be suspended, delayed, cancelled or inaccurate;
  - (d) Customer's transaction order may be suspended, delayed, cancelled or inaccurate;
  - (e) The verification of organizations or customers may be mistaken or flawed;
  - (f) The stock quotes and other stock information showed in e-trading systems may be incorrect or misleading;
  - (g) Other risks that the Securities Company finds necessary to announcement.
2. PSI is not responsible for the Customer to reveal the access password, transaction password. In the case that the Customer discovers that someone is not authorized to use the access password and place the order, please notify PSI by telephone/or in writing immediately to suspend the transaction and take timely action.
3. PSI is committed to provide customers the best services and minimize possible risks that may be encountered technically.

On behalf of PSI, thank you for placing your trust in PSI's online trading services.

Authorized by  
**DIRECTOR**



**Phan Quỳnh Nga**

### Customer's Confirmation

I have carefully read the risk statement above, understand and agree with the contents of this Risk Statement. I fully accept and take full responsibility for the risks outlined in this Risk Statement without any claim or request for damages to me, except in the event of a direct error or error by PSI.

Hanoi, Date.....month.....year.....

Customer (Signing confirmation)